

2024 Webinar Schedule

*takes place 2-3pm EST

February 8, 2024

May 9, 2024

August 8, 2024

TBA

links to register on the website



*ALL new business submitted for approval FIRST

*all marketing materials submitted for approval

*office personnel disclosed

*electronic device list up-to-date

*voicemail disclosure added to all phones used for business

*signature added to email

*all personal accounts disclosed

*pre-clearances submitted

*monthly/quarterly logs completed

*quarterly political contributions logs submitted

*OBAs approved

*copy of all reports submitted

*use of online meetings approved

*professional designations up-to-date and maintained

*social media/website approved

*U4 up-to-date (<https://finpro.finra.org>)

*all customer complaints submitted (written or oral)

***IS YOUR CE COMPLETED??**

Insurance License Continuing Education (CE)...

Every 2 years those that also hold state insurance licenses must complete a certain number of hours (differs by state) of continuing education to maintain their insurance licenses in addition to their FINRA CE courses. Some insurance companies have started asking for proof that you have completed Best Interest Training (minimum 4hr course) as part of the continuing education. We recommend that as part of required hours every year you complete a Best Interest course so that you have the certification to provide should you be asked for it. Otherwise, you may be asked by an insurance carrier to complete the course and provide proof of completion before you can submit new business to them.




Form CRS Delivery Requirements


Four instances:

1. New or prospective customers before or at the earliest of (a) recommendation of an account type, a securities transaction or an investment strategy involving securities; (b) placing an order for the retail customer; or (c) the opening of a brokerage account for the retail customer.
2. Existing customers to whom you recommended that the client: i) open a new account different from their current account(s); ii) roll over assets from a retirement account into a new or existing account or investment; or iii) be provided a new brokerage service or investment that does not necessarily involve the opening of a new account and would not be held in an existing account.
3. When completing change of broker dealer form
4. Existing customers upon request.


The account cover page is to be used to confirm delivery of Form CRS.




Type **Secureit** anywhere in the body of the message



200 Brush Run Road
Greensburg, PA 15601
WWW.TRUSTMONTGROUP.COM
724-668-5663




This communication may contain privileged and/or confidential information. If you received this communication in error, please contact the sender immediately. The information contained in this e-mail is not warranted as to completeness.



Type **Secure:** FIRST in the Subject line

From *	mjh@trustmontgroup.com
To...	ach@trustmontgroup.com;
Cc...	
Subject:	Secure: Client info

**SECURE portal needs to be set up by you, it CAN NOT be initiated by the customer*



Secure Email