

New CE Rules for Registered Reps



What you need to know?!?!

*Continuing education requirements will change effective January 2023 from every 3 years to **EVERY YEAR** *there will no longer be a 3 month window to complete *you have the entire year to complete; must be done prior to December 31st *you will receive an email from FINRA (email used when setting up FINPRO *account*) at the beginning of each year; it will be up to everyone to complete on their own or risk becoming CE inactive NOTE: If inactive the rep can not talk to clients, advise new clients or receive commissions

*if your window opens in November or December of 2022, FINRA is working on a solution