



Trustmont Group

August 12, 2021 3rd Quarter Mandatory Webinar
Staying the Course Through Summer and Beyond...



3rd Quarter 2021 Webinar

Staying the Course Through Summer and Beyond...



The window to complete your Anti-Money Laundering Training through AI Insight is now open...**MUST BE COMPLETED BY 9/1/2021**, details were sent via email



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Annual Compliance Meeting

*The annual compliance meeting will be held online again this year...details to follow please watch your emails



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Keeping your Broker Dealer Informed

LET US
KNOW!



It is very important that you keep the back office informed when you will be away from the office for an extended time period.

For example: illness, vacation, hospitalization, etc.



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Voicemail Message

Please check all phones used for business purposes to make sure you have the proper message; this includes cell phones when used for securities business



Please do not leave trade instructions on this voicemail as such instructions cannot be honored. If you are calling during market hours and need immediate assistance, please call the home office of Trustmont Financial at 1-800-618-3666.
Thank you



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Financial Exploitation

- KNOW THE SIGNS
- PROTECT YOURSELF
- GET HELP



Protecting Seniors and Vulnerable Adults



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UTMA/UGMA



Emails have gone out to all Representatives that we show having UTMA/UGMA accounts on record. Please review the list and take proper action to ensure that the customers best interests are being met.

Reminder that this might not be a complete list.

COMPLIANCE

REMINDERS



July's **Check Log** was due **August 15th**

July's **Correspondence Log** was due **August 15th**

All new business , updates
and paperwork related to
client files should be sent
to Audrey in our
Operations Department



Check your email
DAILY

Make sure to check the website for additional important reminders



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SECURE



EMAIL

BAE SYSTEMS

Type **Secure:** FIRST in the Subject line

 Send	From ▾	mjh@trustmontgroup.com
	To...	ach@trustmontgroup.com;
	Cc...	
	Subject:	Secure: Client info

erado

Type **Secureit** anywhere in the body of the message


<i>200 Brush Run Road Greensburg, PA 15601 www.trustmontgroup.com 724-468-5665</i>
Secureit
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Questions



MANDATORY



Webinar Schedule - 2021

November 11, 2020 2:00-3:00 pm EST

Peter D. Dochinez

- Alternative Investments
- Information from the recent TNDDA conference