



Change of Broker/Dealer Request Account Transfer Form

This will authorize you to change the dealer of record on my/our account(s) as follows:

Social Security No.

One form for EACH primary social security number

Fund Company Name: _____

One form for EACH fund company

Account Registration (ex. John Smith IRA) _____

Account Number: _____

Account Registration (ex. John and Jane Smith) _____

Account Number: _____

Account Registration (ex. John Smith ROTH IRA) _____

Account Number: _____

Account Registration (ex. John Smith 529 FBO Child Smith) _____

Account Number: _____

Please change the dealer of record on my/our account(s) to:

**Trustmont Financial Group, Inc.
200 Brush Run Road, Suite A
Greensburg, PA 15601**

Representative Name: _____ Rep. No. _____

Please print client's name: _____

Client Signature(s):

_____ Date _____

_____ Date _____

By signing above, I authorize Trustmont Financial Group, Inc. ("TFG") to become the Broker/Dealer of record on my account(s). I also permit TFG to accept nonpublic personal information ("NPI") provided by the above registered representative that he/she has obtained while licensed with another Broker/Dealer.